Richard M Sharkansky

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PAGE 14/37

Information Disclosure Statement

MAY 3 1 2005 Page 1 of 1

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

DATA STORAGE SYSTEM HAVING SEPARATE DATA TRANSFER SECTION AND MESSAGE NETWORK WITH BUS **ARBITRATION**

Application Number:

09/680156

09/680156

Confirmation Number:

9150

First Named Applicant:

Stephen MacArthur

Attorney Docket Number: 07072-115001

Examiner:

Dohm Chankong

Search string:

(5241640 or 5752256 or 6651130).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5241640	1993-08-31	Hisano et al		395	425
	2	5752256	1998-05-12	Fujii et al		711.	114
	3	6651130	2003-11-18	Thibault]	710	317

Signature

Examiner Name	Date
-	

Confirmation No.: 9150

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/680,156

Applicant

Stephen D. MacArthur et al.

Filed T.C./A.U. October 5, 2000

Examiner

2154 Dohm Chankong

Docket No. Customer No. EMC2-080PUS

022494

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail with in an envelope addressed to: Mail Stop Group Art 2154, Commissioner for Patents, P. O. Box 1450 Alexandria, VA 22313-1450 on the date set forth below.

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Cara Egan

INFORMATION DISCLOSURE STATEMENT

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MAY 2 4 2004

Mail Stop Group Art 2154 Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Technology Center 2100

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO form PTO/SB/08A (Formerly #1449). The paragraph(s) marked below are applicable to this Information Disclosure Statement.

The enclosed Information Disclosure Statement is being filed: within three 11 (1) months of the filing date; or within three months of the entry of the national stage of the aboveidentified application; or before the mailing of a first Office Action on the merits; or before the

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Page 1 of 5

Docket No. EMC2-080PUS

mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

[X] (2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in 37 C.F.R. § 1.17(p).

SUBMISSION UNDER 37 C.F.R. § 1.97(c)

INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(c) INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Docket No. EMC2-080PUS

SUBMISSION UNDER 37 C.F.R. § 1.97(c)

INCLUDING STATEMENTS UNDER 37 C.F.R. §§ 1.704(d) AND 1.97(e)(1)

[] (5) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by statements under 37 C.F.R. §§ 1.704(d) and 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement and was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d) INCLUDING A STATEMENT UNDER 37 C.F.R. §1.97(e)(1)

[] (6) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d) INCLUDING A STATEMENT UNDER 37 C.F.R. §1.97(e)(2)

[] (7) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to

Page 3 of 5

Docket No. EMC2-080PUS

any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d)

INCLUDING STATEMENTS UNDER 37 C.F.R. §§ 1.704(d) AND 1.97(e)(1)

[] (8) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by statements under 37 C.F.R. §§ 1.704(d) and 1.97(e)(1) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement and was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance.

However, Applicant(s) does not necessarily adopt the position reflected by that report.

Docket No. EMC2-080PUS

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

hated:

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

Richard M. Sharkansky

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Canton, MA 02021-2354 Tel.: (781) 401-9988, ext. 23

Fax: (781) 401-9966

PTO/SB/08A (02-03)
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titute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as meny cheets as necessary)

l	Complete if Known					
Apr	dication Number	09/680,156				
Fills	ng Date	October 5, 2000				
Firs	st Named Inventor	Stephen D. MacArthur				
Art	Unit	2154				
Exa	miner Name	Dohm Chankong				
Att	omey Docket Number	EMC2-080PUS				

Examiner Initials*	No."	Document Number	Publication Date MM-DD-YYYY	POCUMENTS Name of Patentine or Applicant of Cited Document	Peges, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2 (Fanoury}			Figures Appear
		^{US-} 5,115,433	05/19/1992	Baran et al.	
		US- 6,275,953	08/14/2001	Vahalia et al.	
		^{US-} 6,470,389	10/22/2002	Chung et al.	
		us- 6,563,793	05/13/2003	Golden et al.	
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	FOREIGN PATENT DOCUMENTS								
Examiner Initiats*	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	١.			
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not distion is in conformance with MPEP 609. Daw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspin.ago/ or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.* *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a bensifit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information officer, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENO FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND 10: Commissionar for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 (1-800-788-9199) and select option 2.

PTO/SB/08A (02-03)

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Complete if Known Substitute for form 1449/PTO **Application Number** 09/680,156 Filing Date October 5, 2000 INFORMATION DISCLOSURE First Named Inventor Stephen D. MacArthur STATEMENT BY APPLICANT Art Unit 2154 (Use as many sheets as necessary) **Examiner Name** Dohm Chankong Attorney Docket Number EMC2-080PUS Sheet of

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (Finoury)}	Publication Date MM-OD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,115,433	05/19/1992	Baran et al.	+
		J,113,433			
		^{US-} 6,275,953	08/14/2001	Vahalia et al.	
		^{US-} 6,470,389	10/22/2002	Chung et al.	
		^{US-} 6,563,793	05/13/2003	Golden et al.	
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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